

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Obrocea Mihail	2. Date of Event Requiring Statement (Month/Day/Year) 07/27/2022	3. Issuer Name and Ticker or Trading Symbol MAIA Biotechnology, Inc. [MAIA]
(Last) (First) (Middle) 444 WEST LAKE STREET, SUITE 1700		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) Chief Medical Officer
(Street) CHICAGO, IL 60606		5. If Amendment, Date Original Filed (Month/Day/Year)
(City) (State) (Zip)	6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person	

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	26,100 <u>(1)</u>	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Options	<u>(2)</u>	01/05/2031	Common Stock	13,269	\$ 1.8	D	
Options	<u>(2)</u>	01/30/2031	Common Stock	6,131	\$ 1.8	D	
Options	<u>(2)</u>	04/04/2031	Common Stock	10,022	\$ 1.83	D	
Options	<u>(3)</u>	06/06/2031	Common Stock	7,447	\$ 1.83	D	
Options	<u>(4)</u>	06/04/2031	Common Stock	6,476	\$ 1.83	D	
Options	<u>(5)</u>	07/14/2031	Common Stock	260,000	\$ 1.83	D	
Option	<u>(6)</u>	06/29/2031	Common Stock	7,436	\$ 1.83	D	
Options	<u>(7)</u>	07/30/2031	Common Stock	772	\$ 8	D	
Warrants	<u>(8)</u>	<u>(8)</u>	Common Stock	8,820	\$ 6	D	
Warrants	<u>(9)</u>	<u>(9)</u>	Common Stock	4,230	\$ 6	D	

**Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Obrocea Mihail 444 WEST LAKE STREET, SUITE 1700			Chief Medical Officer	

## Signatures

/s/ Mihail Obrocea07/27/2022

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Mr. Obrocea beneficially owns 26,100 shares of common stock.
- (2) Represents an option to purchase shares of Common Stock granted pursuant to the 2020 Equity Incentive Plan. These options fully vested on May 11, 2021.
- (3) Represents an option to purchase shares of Common Stock granted pursuant to the 2020 Equity Incentive Plan. These options fully vested on June 7, 2021.
- (4) Represents an option to purchase shares of Common Stock granted pursuant to the 2020 Equity Incentive Plan. These options fully vested on June 5, 2021.
- (5) Represents an option to purchase shares of Common Stock granted pursuant to the 2020 Equity Incentive Plan. 25% of these options vest in 1 year with the remaining options vesting in 36 equal monthly installments beginning on July 15, 2021.
- (6) Represents an option to purchase shares of Common Stock granted pursuant to the 2020 Equity Incentive Plan. These options fully vested on June 30, 2021.
- (7) Represents an option to purchase shares of Common Stock granted pursuant to the 2020 Equity Incentive Plan. These options fully vested on July 31, 2021.
- (8) Each Warrant became exercisable on the date of issuance of October 10, 2020, and expire on the earliest to occur of: (a) October 10, 2027 or (b) immediately prior to the closing of any change of control of the issuer.
- (9) Each Warrant became exercisable on the date of issuance of June 29, 2021, and expire on the earliest to occur of: (a) June 28, 2028 or (b) immediately prior to the closing of any change of control of the issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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