SEC Form 4

FORM 4

Check this box if no longer subject to

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Section 16. For may continue. S		obligations) of the Secu Investment (<u>_</u>			1	
1. Name and Address of Reporting Person [*] <u>Theagene Jean-Manasse</u>					2. Issuer Name and Ticker or Trading Symbol <u>MAIA Biotechnology, Inc.</u> [MAIA]								all applicabl Director	onship of Reporting Per Il applicable) Director Officer (give title		erson(s) to Issuer 10% Owner Other (specify		
(Last) (First) (Middle) 444 WEST LAKE STREET, SUITE 1700					3. Date of Earliest Transaction (Month/Day/Year) 03/31/2023								below)			below)		
Street) CHICAGO IL 60606 (City) (State) (Zip)				4. 11	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person					
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
Date					Transaction te onth/Day/Year)		emed on Date, /Day/Yea	Transaction Dispose Code (Instr.		ed Of (I	ities Acquired (A) or d Of (D) (Instr. 3, 4 and 5) (A) or (D) Price		5. Amount of Securities Beneficially Owne Following Reporte Transaction(s) (In: 3 and 4)		(Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year	Code (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exer Expiration D (Month/Day/	Secu Deri	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported Transact	e s ally g	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title		Amount or Number of Shares		(Instr. 4)				
Stock Options	\$3.46	03/31/2023		Α		945		03/31/2023 ⁽¹) 03/31/203		ommon Stock	945	\$ <mark>0</mark>	945		D		

Explanation of Responses:

1. The stock options, granted on March 31, 2023 pursuant to MAIA Biotechnology, Inc's 2021 Equity Incentive Plan, representing the right to buy shares of common stock, vest 100% on the date of the grant and are exercisable beginning as of that date.

04/04/2023 /s/ Jean-Manasse Theagene Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.