SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to														hours per res		per resp	onse:	0.5	
Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934																			
or Section 30(h) of the Investment Company Act of 1940																			
1. Name and Address of Reporting Person <sup>*</sup>						2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Guerrero Ramiro					MAIA Biotechnology, Inc. [MAIA]								1 °	X Director 10% Owner					
														Officer (give title			Other (s		
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year)									below)			below)		
444 WEST LAKE STREET, SUITE 1700					03/31/2023														
(Street)					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indiv	6. Individual or Joint/Group Filing (Check Applicable Line)					
CHICAGO IL 60606														X Form filed by One Reporting Person					
													Form filed	by More	than O	ne Reporting	g Person		
(City)	(State)	(Z	ip)																
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3) 2. Tra						2A. Deemed		3. 4. Secur Transaction Dispose		4. Securi	ities Acc	quired (A	) or	5. Amount o		of <u>6</u> . Ow		7. Nature of	
Date (Mont					/Year)	Execution Date, if any		Code (Instr.		Disposed	Disposed Of (D) (Instr. 3, 4 ar			Beneficially				Indirect Beneficial	
							(Month/Day/Yea		_					Following Transactio		(Instr.		Ownership (Instr. 4)	
								Code	v ا	Amount	(A) or (D)		Price	3 and 4)					
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
(e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of	2.	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4.	5. Number of		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount Securities Underlyin			8. Price of	9. Numbe			Beneficial		
Derivative Security (Instr. 3)	Conversion or Exercise			Transaction Code (Instr.		Derivative Securities				Deriva	ative Sec	erlying urity (Instr.	Derivative Security	derivative Securities		Ownership Form: Direct (D)			
Price of Derivative Security			(Month/Day/Yea	r) 8)		Acquired (A) or Disposed		3 and 4)					(Instr. 5)		Beneficially Owned		Ownership (Instr. 4)		
					of (D) (Instr. 3, 4 and 5)									Following Reported	(I) (Instr. 4)				
													Amount		Transaction(s) (Instr. 4)				
								Date		Expiration			or Number						
				Code	v	(A)	(D)	Exercisabl	le	Date	Title		of Shares						
Stock Options	\$3.46	03/31/2023		Α		5,675		03/31/2023	(1)	03/31/2033		mmon tock	5,675	\$0	5,67	5	D		

Explanation of Responses:

1. The stock options, granted on March 31, 2023 pursuant to MAIA Biotechnology, Inc's 2021 Equity Incentive Plan, representing the right to buy shares of common stock, vest 100% on the date of the grant and are exercisable beginning as of that date.

## /s/ Ramiro Guerrero

\*\* Signature of Reporting Person

04/04/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.