SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person					2. Issuer Name and Ticker or Trading Symbol MAIA Biotechnology, Inc. [MAIA]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Vitoc Vlad					1		Diotee	<u> </u>	<u> </u>	<u>.</u> [ '				X	Director		Х	10% Ov	vner	
(Last)	(Last) (First) (Middle)														Officer (g below)	ive title		Other (s below)	specify	
						3. Date of Earliest Transaction (Month/Day/Year) 02/10/2023									Chief Executive Officer					
444 WEST LAKE STREET,																				
(Street)					4 If	4. If Amondment, Data of Original Eiled (Month/Day/Vear)									6. Individual or Joint/Group Filing (Check Applicable Line)					
CHICAGO IL 60606					4. 11	4. If Amendment, Date of Original Filed (Month/Day/Year)									X Form filed by One Reporting Person					
												Form file	d by More	than O	ne Reportin	g Person				
(City)	(State)	(Zi	p)																	
		Т	able I - No	n-De	rivati	ve S	ecuritie	es Acq	uired,	Disp	posed of	, or	Benefi	cially Ow	ned					
Date				t. Transaction Date Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securit Disposed	ies Ac Of (D	quired (A ) (Instr. 3,	) or 4 and 5)	5. Amount of Securities Beneficially Owr Following Repor Transaction(s)				7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount		(A) or (D)	Price	(Instr. 3 and 4)				(1150. 4)	
Common Stock 02				02/	2/10/2023				Р		343		Α	\$4.24 <sup>(1)</sup>	793,464			D		
Common Stock														210,000		)00		See Footnote <sup>(3)</sup>		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerci Expiration Da (Month/Day/Y		te Sec ear) Der		itle and Ar urities Un ivative See id 4)	derlying curity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Numb derivativ Securitie Beneficia Owned Followin Reported Transact	re es ally g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercis	able	Expiration le Date Title		•	Amount or Number of Shares		(Instr. 4)	str. 4)			

## Explanation of Responses:

1. The purchases reported in this Form 4 were automatically effected pursuant to a previously executed purchasing plan for Mr. Vitoc that is intended to comply with Rule 10b5-1(c) promulgated under the Securities Exchange Act of 1934, as amended.

2. The price reported is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$4.20 to \$4.25, inclusive. The Reporting Person undertakes to provide to the staff of the Securities and Exchange Commission, to any security holder of the Issuer, or to the Issuer, upon request, full information regarding the number of shares purchased at each separate price within the range set forth above.

3. These securities are beneficially owned by Mr. Vitoc's spouse. The reporting person disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein.

/s/ Vlad Vitoc

\*\* Signature of Reporting Person

<u>02/14/2023</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.